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 45. Fortinet repeats and realleges each and every allegation set forth above in paragraphs 1 through 44, inclusive, and incorporates them by reference herein.

46. Because SRI contends that Fortinet needs to license the '615 patent, it follows that SRI believes its '615 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '615 patent.

47. Fortinet contends that its products and services do not infringe, contribute to the infringement of, or induce others to infringe any valid and enforceable claim of the '615 patent, either directly or indirectly, either literally or under the doctrine of equivalents. Accordingly, Fortinet does not need a license to the '615 patent, and it has a right to continue to providing its security services without a license to or interference from SRI's '615 patent.

48. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that its products do not infringe any valid claim of the '615 patent. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

## NINTH CAUSE OF ACTION (Declaratory Judgment of Invalidity of U.S. Patent No. 7,594,260)

- Fortinet repeats and realleges each and every allegation set forth in paragraphs 1
   through 48, inclusive, and incorporates them by reference herein.
- 50. Because SRI contends that Fortinet needs to license the SRI patents, it follows that SRI believes its '260 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '260 patent.
- Fortinet contends that the '260 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, inter alia, in Sections 101, 102, 103,

and/or 112 of Title 35 of the United States Code. For example, the '260 patent is anticipated and/or rendered obvious in light of Live Traffic, EMERALD 1997, Lewis, and the ISS RealSecure and NetRanger products.

- 52. On at least the basis that it believes the '260 patent is invalid, Fortinet does not need a license to the '260 patent, and it has a right to continue providing its security products and services without a license to or interference from SRI's '260 patent.
- 53. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that the '260 patent is invalid. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

### TENTH CAUSE OF ACTION (Declaratory Judgment of Noninfringement of U.S. Patent No. 7,594,260)

- Fortinet repeats and realleges each and every allegation set forth above in paragraphs 1 through 53, inclusive, and incorporates them by reference herein.
- 55. Because SRI contends that Fortinet needs to license the '260 patent, it follows that SRI believes its '260 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '260 patent.
- 56. Fortinet contends that its products and services do not infringe, contribute to the infringement of, or induce others to infringe any valid and enforceable claim of the '260 patent, either directly or indirectly, either literally or under the doctrine of equivalents. Accordingly, Fortinet does not need a license to the '260 patent, and it has a right to continue to providing its security services without a license to or interference from SRI's '260 patent.
- 57. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that its products do not infringe any valid claim of the '260 patent. Such a / / /

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determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

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#### ELEVENTH CAUSE OF ACTION (Declaratory Judgment of Invalidity of U.S. Patent No. 7,694,115

- Fortinet repeats and realleges each and every allegation set forth in paragraphs 1 58. through 57, inclusive, and incorporates them by reference herein.
- Because SRI contends that Fortinet needs to license the SRI patents, it follows 59. that SRI believes its '115 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '115 patent.
- Fortinet contends that the '115 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, inter alia, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code. For example, the '115 patent is anticipated and/or rendered obvious in light of Live Traffic, EMERALD 1997, Lewis, and the ISS RealSecure and NetRanger products.
- 61. On at least the basis that it believes the '115 patent is invalid, Fortinet does not need a license to the '115 patent, and it has a right to continue providing its security products and services without a license to or interference from SRI's '115 patent.
- 62. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that the '115 patent is invalid. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties

#### TWELFTH CAUSE OF ACTION (Declaratory Judgment of Noninfringement of U.S. Patent No. 7,694,115)

Fortinet repeats and realleges each and every allegation set forth above in 63. paragraphs 1 through 62, inclusive, and incorporates them by reference herein.

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26 27 28 64. Because SRI contends that Fortinet needs to license the '115 patent, it follows that SRI believes its '115 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '115 patent.

65. Fortinet contends that its products and services do not infringe, contribute to the infringement of, or induce others to infringe any valid and enforceable claim of the '115 patent, either directly or indirectly, either literally or under the doctrine of equivalents. Accordingly, Fortinet does not need a license to the '115 patent, and it has a right to continue to providing its security services without a license to or interference from SRI's '115 patent.

66. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that its products do not infringe any valid claim of the '115 patent. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

#### PRAYER FOR RELIEF

WHEREFORE, plaintiff Fortinet requests entry of judgment in its favor and against defendant SRI as follows:

- (a) Declaring that the claims of the '338 patent, the '203 patent, the '874 patent, the '615 patent, the '260 patent, and the '115 patent are invalid:
- (b) Declaring that Fortinet has not infringed, induced others to infringe, or contributed to the infringement of any valid claim of the '338 patent, the '203 patent, the '874 patent, the '615 patent, the '260 patent, or the '115 patent, either directly or indirectly, either literally or under the doctrine of equivalents;
- (c) Enjoining SRI, its officers, owners, partners, employees, agents, parents, subsidiaries, attorneys, and anyone acting in concert or participation with any of them, from making any claims that Fortinet infringes the '338 patent,

the '203 patent, the '874 patent, the '615 patent, the '260 patent, or the '115 patent;

- (d) Enjoining SRI, its officers, owners, partners, employees, agents, parents, subsidiaries, attorneys, and anyone acting in concert or participation with any of them, from enforcing the '338 patent, the '203 patent, the '874 patent, the '615 patent, the '260 patent, or the '115 patent against Fortinet's products and services;
- (e) Awarding Fortinet its costs of suit, including reasonable attorneys' fees; and
- (f) Granting such other and further relief as the Court may deem just and proper.

Dated: May 17, 2012

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

By: Stefani E. Shanberg

Attorneys for Plaintiff FORTINET, INC.

### DEMAND FOR JURY TRIAL

Pursuant to Federal Rule of Civil Procedure 38 and Civil Local Rule 3-6(a), Fortinet hereby demands a jury trial of all issues triable by a jury.

Dated: May 17, 2012

WILSON SONSINI GOODRICH & ROSATI Professional Corporation

By: Cham's Hamon Stefani E. Shanberg

Attorneys for Plaintiff FORTINET, INC.

### E-FILING

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Attorneys for Plaintiff FORTINET, INC.

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MAY 1 / 2012

Richard W. Wieking Olerk, U.S. District Court Northern District of California ولانال المناك

IN THE UNITED STATES DISTRICT COURT

FOR THE NORTHERN DISTRICT OF CALIFORNIA

SAN JOSE DIVISION

13 FORTINET, INC., 14 a Delaware corporation,

Plaintiff.

16 v.

> SRI INTERNATIONAL, INC., a California corporation.

> > Defendant.

€<del>V12-0254</del>0 COMPLAINT FOR

DECLARATORY JUDGMENT

JURY TRIAL DEMANDED

Fortinet, Inc. hereby alleges for its complaint against defendant SRI International, on personal knowledge as to its own activities and on information and belief as to the activities of others, as follows:

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#### THE PARTIES

Plaintiff Fortinet, Inc. ("Fortinet") is a corporation organized and existing under the laws of Delaware, with its principal place of business at 1090 Kifer Road, Sunnyvale, California 94086. Plaintiff is a worldwide provider of network security appliances and a market leader in unified threat management (UTM) solutions. Fortinet's products and subscription

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 Defendant SRI International, Inc. ("SRI") is an independent research institute incorporated under the laws of California, and has a regular and established place of business at 333 Ravenswood Avenue, Menlo Park, California 94025.

#### INTRADISTRICT ASSIGNMENT

 Pursuant to Civil Local Rule 3-2(c), this is an Intellectual Property Action to be assigned on a district-wide basis.

#### BACKGROUND

- 4. United States Patent No. 6,321,338 ("the '338 patent"), entitled Network Surveillance, states on its face that it is assigned to SRI. The '338 patent states that it issued on November 20, 2001. A true and correct copy of the '338 patent is attached hereto as Exhibit A.
- 5. United States Patent No. 6,484,203 ("the '203 patent"), entitled Hierarchical Event Monitoring and Analysis, states on its face that it is assigned to SRI. The '203 patent states that it issued on November 19, 2002. A true and correct copy of the '203 patent is attached hereto as Exhibit B.
- 6. United States Patent No. 6,704,874 ("the '874 patent"), entitled Network-Based Alert Management, states on its face that it is assigned to SRI. The '874 patent states that it issued on March 9, 2004. A true and correct copy of the '874 patent is attached hereto as Exhibit C.
- United States Patent No. 6,711,615 ("the '615 patent"), entitled Network Surveillance, states on its face that it is assigned to SRI. The '615 patent states that it issued on March 23, 2004. A true and correct copy of the '615 patent is attached hereto as Exhibit D.
- 8. United States Patent No. 7,594,260 ("the '260 patent"), entitled Network Surveillance Using Long-Term and Short-Term Statistical Profiles to Determine Suspicious Network Activity, states on its face that it is assigned to SRI. The '260 patent states that it issued on September 22, 2009. A true and correct copy of the '260 patent is attached hereto as Exhibit E.

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- 9. United States Patent No. 7,694,115 ("the '115 patent"), entitled Network-Based Alert Management System, states on its face that it is assigned to SRI. The '115 patent states that it issued on April 6, 2010. A true and correct copy of the '115 patent is attached hereto as Exhibit F.
- In August of 2004, SRI filed a lawsuit against both Internet Security Systems, Inc. 10. and Symantec Corporation in the United States District Court for the District of Delaware alleging infringement of, inter alia, the '338 patent, the '203 patent, and the '615 patent. That lawsuit continued until late 2011. In February 2011, SRI filed a second lawsuit, also in Delaware, alleging that Symantec infringed the '203 patent and the '615 patent. The parties to that second lawsuit stipulated to dismissal on April 27, 2012. SRI has, therefore, been engaged in a persistent pattern of litigation involving at least the '338 patent, the '203 patent, and the '615 patent for nearly eight years.
- 11. On May 9, 2012—less than two weeks after concluding nearly eight years of persistent litigation-SRI's Vice President of Legal and Business Affairs and General Counsel, Richard H. Abramson, wrote to John Whittle, Fortinet's Vice President of Legal and Corporate Development, seeking to "initiate a discussion with you regarding a license to this portfolio," and listing each of the patents attached as Exhibits A-F as patents "applicable to Fortinet's business." Mr. Abramson touted "SRI's patent position" and "earlier enforcement efforts" which include years of litigation. Mr. Abramson specifically said SRI believes these patents to be "highly relevant" to "all Fortinet products that incorporate FortiGate IPS with FortiAnalyzer." And Mr. Abramson copied SRI's litigation counsel on the letter. In other words, SRI alleged that numerous Fortinet products infringe the patents attached as Exhibits A-F.
- Upon receipt of Mr. Abramson's letter, and in light of SRI's persistent pattern of 12. litigation, Fortinet immediately became concerned that it is SRI's next litigation target and checked dockets to confirm no such complaint had yet been filed. Fortinet believes SRI will not hesitate to file suit immediately.

13. Fortinet repeats and realleges each and every allegation set forth in paragraphs 1 through 12, inclusive, and incorporates them by reference herein.

14. Because SRI contends that Fortinet needs to license the SRI patents, it follows that SRI believes its '338 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '338 patent.

15. Fortinet contends that the '338 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, inter alia, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code. For example, the '338 patent is anticipated and/or rendered obvious in light of a paper entitled "Live Traffic Analysis of TCP/IP Gateways" ("Live Traffic") and/or "EMERALD: Event Monitoring Enabling Responses To Anomalous Live Disturbances" ("EMERALD 1997").

16. On at least the basis that it believes the '338 patent is invalid, Fortinet does not need a license to the '338 patent, and it has a right to continue providing its security products and services without a license to or interference from SRI's '338 patent.

17. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that the '338 patent is invalid. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

### SECOND CAUSE OF ACTION (Declaratory Judgment of Noninfringement of U.S. Patent No. 6,321,338)

- 18. Fortinet repeats and realleges each and every allegation set forth above in paragraphs 1 through 17, inclusive, and incorporates them by reference herein.
- Because SRI contends that Fortinet needs to license the '338 patent, it follows that
   SRI believes its '338 patent is valid and infringed by Fortinet. As a result of the totality of the

- 20. Fortinet contends that its products and services do not infringe, contribute to the infringement of, or induce others to infringe any valid and enforceable claim of the '338 patent, either directly or indirectly, either literally or under the doctrine of equivalents. Accordingly, Fortinet does not need a license to the '338 patent, and it has a right to continue to providing its security services without a license to or interference from SRI's '338 patent.
- 21. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that its products do not infringe any valid claim of the '338 patent. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

### THIRD CAUSE OF ACTION (Declaratory Judgment of Invalidity of U.S. Patent No. 6,484,203)

- Fortinet repeats and realleges each and every allegation set forth in paragraphs 1 through 21, inclusive, and incorporates them by reference herein.
- 23. Because SRI contends that Fortinet needs to license the SRI patents, it follows that SRI believes its '203 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '203 patent.
- 24. Fortinet contends that the '203 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, inter alia, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code. For example, the '203 patent is anticipated and/or rendered obvious in light of Live Traffic, EMERALD 1997, and U.S. Patent No. 5,768,501 ("Lewis"), and the ISS RealSecure and NetRanger products.
- 25. On at least the basis that it believes the '203 patent is invalid, Fortinet does not need a license to the '203 patent, and it has a right to continue providing its security products and services without a license to or interference from SRI's '203 patent.

COMPLAINT - DECLARATORY JUDGMENT

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26. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that the '203 patent is invalid. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

### FOURTH CAUSE OF ACTION (Declaratory Judgment of Noninfringement of U.S. Patent No. 6,484,203)

- Fortinet repeats and realleges each and every allegation set forth above in paragraphs 1 through 26, inclusive, and incorporates them by reference herein.
- 28. Because SRI contends that Fortinet needs to license the '203 patent, it follows that SRI believes its '203 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '203 patent.
- 29. Fortinet contends that its products and services do not infringe, contribute to the infringement of, or induce others to infringe any valid and enforceable claim of the '203 patent, either directly or indirectly, either literally or under the doctrine of equivalents. Accordingly, Fortinet does not need a license to the '203 patent, and it has a right to continue to providing its security services without a license to or interference from SRI's '203 patent.
- 30. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that its products do not infringe any valid claim of the '203 patent. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

## FIFTH CAUSE OF ACTION (Declaratory Judgment of Invalidity of U.S. Patent No. 6,704,874)

 Fortinet repeats and realleges each and every allegation set forth in paragraphs 1 through 30, inclusive, and incorporates them by reference herein.

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 32. Because SRI contends that Fortinet needs to license the SRI patents, it follows that SRI believes its '874 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '874 patent.

33. Fortinet contends that the '874 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, *inter alia*, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code. For example, the '874 patent is anticipated and/or rendered obvious in light of Live Traffic, EMERALD 1997, Lewis, and the ISS RealSecure and NetRanger products.

34. On at least the basis that it believes the '874 patent is invalid, Fortinet does not need a license to the '874 patent, and it has a right to continue providing its security products and services without a license to or interference from SRI's '874 patent.

35. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that the '874 patent is invalid. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

### SIXTH CAUSE OF ACTION (Declaratory Judgment of Noninfringement of U.S. Patent No. 6,704.874)

- Fortinet repeats and realleges each and every allegation set forth above in paragraphs 1 through 35, inclusive, and incorporates them by reference herein.
- 37. Because SRI contends that Fortinet needs to license the '874 patent, it follows that SRI believes its '874 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '874 patent.
- 38. Fortinet contends that its products and services do not infringe, contribute to the infringement of, or induce others to infringe any valid and enforceable claim of the '874 patent, either directly or indirectly, either literally or under the doctrine of equivalents. Accordingly,

Fortinet does not need a license to the '874 patent, and it has a right to continue to providing its security services without a license to or interference from SRI's '874 patent.

39. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that its products do not infringe any valid claim of the '874 patent. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.

### SEVENTH CAUSE OF ACTION (Declaratory Judgment of Invalidity of U.S. Patent No. 6,711,615)

- Fortinet repeats and realleges each and every allegation set forth in paragraphs 1
   through 39, inclusive, and incorporates them by reference herein.
- 41. Because SRI contends that Fortinet needs to license the SRI patents, it follows that SRI believes its '615 patent is valid and infringed by Fortinet. As a result of the totality of the circumstances between the parties discussed above, Fortinet believes that SRI intends to sue Fortinet for infringement of the '615 patent.
- 42. Fortinet contends that the '615 patent is invalid because it fails to satisfy the conditions and requirements for patentability as set forth, *inter alia*, in Sections 101, 102, 103, and/or 112 of Title 35 of the United States Code. For example, the '615 patent is anticipated and/or rendered obvious in light of Live Traffic, EMERALD 1997, Lewis, and the ISS RealSecure and NetRanger products.
- 43. On at least the basis that it believes the '615 patent is invalid, Fortinet does not need a license to the '615 patent, and it has a right to continue providing its security products and services without a license to or interference from SRI's '615 patent.
- 44. Accordingly, an actual, valid, and justiciable controversy has arisen and exists between Fortinet and SRI. Fortinet desires a prompt and definitive judicial determination and declaration that the '615 patent is invalid. Such a determination and declaration are necessary and appropriate at this time in order that the parties may ascertain their respective rights and duties.